



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Christopher R. Hammond et al : Group Art Unit: 2121  
Serial No.: 09/595,865 : Examiner: Michael B. Holmes  
Filed: June 16, 2000 : Response to Paper No. 6  
For SYSTEM AND METHOD FOR  
PRODUCING WEB-BASED  
PROCESS ADVISOR APPLICATIONS

INFORMATION DISCLOSURE STATEMENT  
WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION

Commissioner for Patents  
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Technology Center 2100

S I R:

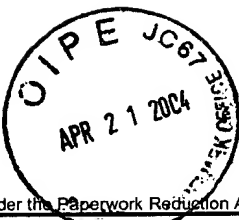
A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98. The concise explanation requirement for the foreign language documents is met by the enclosed copy of the English language version of the search report indicating the degree of relevance found by the foreign office. This paper is submitted in accordance with 37 CFR 1.97(b) (within three (3) months of the application filing date or prior to a first Office Action on the merits).

4/19/04

Date

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207-791-1276



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	09/595,865
Filing Date	June 16, 2000
First Named Inventor	Christopher R. Hammond
Art Unit	2121
Examiner Name	Michael B. Holmes
Attorney Docket Number	RD28052

Sheet 1

of

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 5,319,740	06-07-1994	Yamada, et al.	<b>RECEIVED</b>  APR 22 2004  Technology Center 2100
	2	US- 5,546,507	08-13-1996	Staub	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	EP 0352514	01-31-1990	Westinghouse Electric Corp.		
	2	EP 0519096	12-23-1992	Siemens AG		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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